WO0004978

Publication Title:

Concentration of particles in a fluid within an acoustic standing wave field

Abstract:

A device for performing the manipulation of particles suspended in a fluid, comprises a chamber forming a duct for the flow of the fluid, and an acoustic transducer (10) and a reflector (12) for establishing an acoustic standing wave field across the width of the duct the spacing between the transducer and reflector is 300 microns or less. With such a small spacing, the device is particularly effective at concentrating the particles and lower operating voltages are required

Data supplied from the esp@cenet database - http://ep.espacenet.com

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7:

B01D 43/00, B01J 8/16, G01N 15/02

(11) International Publication Number:

WO 00/04978

(43) International Publication Date:

3 February 2000 (03.02.00)

(21) International Application Number:

PCT/GB99/02384

A1

(22) International Filing Date:

22 July 1999 (22.07.99)

(30) Priority Data:

9815919.7

22 July 1998 (22.07.98)

GB

(71) Applicant (for all designated States except US): MSTB MI-CROSENSORS IN SPACE AND TERRESTRIAL BIOL-OGY LIMITED [GB/GB]; 14 Quarry Road, Swansea SA5 9DJ (GB).

(72) Inventors; and

- (75) Inventors/Applicants (for US only): CEFAI, Joseph [GB/GB]; 14 Quarry Road, Swansea SA5 9DJ (GB). BARROW, David, Anthony [GB/GB]; 65 Newfoundland Road, Gabalfa, Cardiff CF4 3LB (GB). COAKLEY, William, Terence [GB/GB]; 20 Windermere Avenue, Cardiff CF2 5PX (GB). HAWKES, Jeremy, John [GB/GB]; 8 Ladysmith Road, Panylan, Cardiff CF2 5DT (GB).
- (74) Agent: GIBSON, Stewart, Harry; Urquhart-Dykes & Lord, Three Trinity Court, 21-27 Newport Road, Cardiff CF2 1AA (GB).

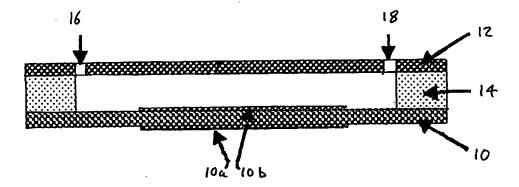
(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: CONCENTRATION OF PARTICLES IN A FLUID WITHIN AN ACOUSTIC STANDING WAVE FIELD



(57) Abstract

A device for performing the manipulation of particles suspended in a fluid, comprises a chamber forming a duct for the flow of the fluid, and an acoustic transducer (10) and a reflector (12) for establishing an acoustic standing wave field across the width of the duct: the spacing between the transducer and reflector is 300 microns or less. With such a small spacing, the device is particularly effective at concentrating the particles and lower operating voltages are required.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	Prance	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	ΗÜ	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	Œ	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of Americ
CA	Canada	ΙΤ	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JР	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

WO 00/04978 PCT/GB99/02384

CONCENTRATION OF PARTICLES IN A FLUID WITHIN AN ACOUSTIC STANDING WAVE FIELD

The present invention relates to a device for performing the manipulation of particles suspended in a fluid, using an acoustic standing wave field.

When particles suspended in a fluid are subjected to an 5 acoustic standing wave field, the particles displace to the location of the standing wave nodes, the effectiveness of this with the relative densities varying compressibilities of the particles of the suspending fluid. A number of techniques have been proposed, using this 10 phenomenon, to separate particles from a liquid or other fluid. Typically, the fluid is caused to flow through a duct in which an acoustic standing wave field is established, transverse to the length of the duct. The particles accordingly displace to form a series of parallel bands: a number of outlet passages 15 may be provided to lead the individual bands of particles away from the main flow duct. Because there are engineering difficulties involved in providing an array of narrow outlet passages to collect the particle bands, the tendency is to operate at relatively low frequencies so that the wavelength 20 of the standing wave field is sufficiently large to provide an adequate spacing (half wavelength spacing) between the particle bands.

The primary acoustic force on a single particle in an acoustic standing wave field is proportional to the operating frequency. Also the distance which a particle needs to move to reach a node decreases with increasing frequency, because the wavelength is smaller and hence the spacing between notes is smaller. It is therefore easier to concentrate particles (including biological cells) at higher operating frequencies.

30 Ultrasonic cavitation is also less likely to limit the applicable acoustic pressure at higher frequencies. However, the use of high frequencies, and therefore smaller wavelengths, increases the engineering difficulties involved in providing outlet passages for the individual particle bands. Also, in cases where it is desired to observe the particle bands, this is difficult or impossible when the bands are close together.

Our International patent application PCT/GB98/01274 apparatus for alleviating the Thus, that application discloses an apparatus difficulties. which comprises a duct for the flow of the fluid in which 5 particles are suspended, and means for establishing an acoustic standing wave field across the width of the duct, in which the duct is formed with an expansion in width downstream of the standing wave field. In use of this apparatus, the particles in the flowing fluid are displaced into a series of parallel 10 bands by the acoustic standing wave field. The particles remain in these bands as the fluid flows downstream from the section in which the standing wave field is present. fluid reaches the expansion of the duct, the stream of fluid expands correspondingly in width and, in so doing, the bands 15 of particles are spread further apart, so increasing the spacing between adjacent bands. In passing further along the flow duct, the particle bands retain increased spacing: bands can now either be observed, or they can be separated from the duct.

In the apparatus disclosed in our International patent application PCT/GB98/01274, the duct has a width of 1mm in the section where the acoustic standing wave field is established. We have now found that considerable advantages accrue by forming the duct to a substantially smaller width.

20

25

Therefore, in accordance with the present invention, there is provided a device for performing the manipulation of particles suspended in a fluid, the device comprising a duct for the flow of a fluid in which particles are suspended, and an acoustic transducer and a reflector for establishing an 30 acoustic standing wave field across the width of the duct, the spacing between the transducer and reflector being 300 microns or less.

The transducer and reflector may form the opposite side walls of a chamber which provides the flow duct. 35 either the transducer or reflector (or both) may be positioned externally of respective side walls of the chamber. cases, it will be appreciated that the width of the duct is substantially smaller than in the apparatus disclosed in our International patent application PCT/GB98/01274. Preferably the spacing between the transducer and reflector is less than 200 microns and most preferably is as small as 100 microns.

We have found that the device of the present invention is particularly effective in concentrating the particles.

5 Preferably a half-wavelength standing wave field is established between the transducer and reflector, such that a single band of particles is formed. We have found that a substantially lower operating voltage is required, relative to chambers of greater width, in order to concentrate the particles: also, we have found that it is an easy matter to trap the particles against the flow of the suspending fluid (regardless of the orientation of the device). Moreover, we have found that extremely small particles can be manipulated effectively: we have manipulated polystyrene latex particles of 46nm diameter but believe that particles even smaller than this can be manipulated effectively.

We also believe that the device of the present invention reduces the phenomenon of particle vortexing or streaming. This phenomenon arises because, in addition to the standing wave field, there is usually a travelling wave component which causes particles to displace from the standing wave node: there is a similar effect due to differences in temperature across the width of the flow duct. However, in the device of the present invention, there is less acoustic loss due to the smaller pathlength and therefore a smaller travelling wave component: also, any localised heat is more easily dissipated due to the increased surface-to-volume ratio of the chamber.

Preferably the device is operated at the resonant frequency of the acoustic chamber, as opposed to the resonant frequency of the acoustic transducer. The operating frequency may therefore be substantially different from the resonant frequency of the transducer. The resonant frequency of the chamber may vary according to manufacturing tolerances, and will vary depending on the particular fluid and suspended particles which are to flow through it: however, the operating frequency can be adjusted for individual devices and for individual applications.

Thus, in accordance with the present invention, there

is provided a device for performing the manipulation of particles suspended in a fluid, the device comprising an acoustic chamber providing a duct for the flow of a fluid in which particles are suspended, an acoustic transducer and a 5 reflector for establishing an acoustic standing wave field across the width of the duct, and an alternating current power source for driving the transducer, the arrangement serving to operate at the resonant frequency (or a harmonic thereof) of the acoustic chamber.

Because the particles can be trapped easily against the fluid flow, the device may be used to hold the particles for required period of time, and release some of the particles selectively (e.g. release half and retain the other half of a trapped quantity of particles). The device may be arranged to 15 move particle from one part of the chamber to another, e.g. by energising one transducer or section of the transducer, whilst de-energising another. Also, particles may be diverted to selective output ports of the chamber.

10

25

30

The device of the present invention is much more 20 effective, the larger devices, at manipulating small particles. A large number of such devices may therefore be arranged in parallel on a fluid flow path, to accommodate a large total volume flow whilst benefitting from the enhanced ability of the individual devices to manipulate small particles.

Embodiments of the present invention will now be described by way of examples only and with reference to the accompanying drawings, in which:

FIGURE 1 is an enlarged sectional view through a particle manipulation device in accordance with this invention;

FIGURE 2 is a similar view of a modified device;

FIGURE 3 is a similar view of a second embodiment of particle manipulation device in accordance with the invention; and

FIGURE 4 is a similar view of a third embodiment of 35 particle manipulation device in accordance with the invention.

Referring to Figure 1 of the drawings, there is shown a particle manipulation device which comprises an acoustic chamber forming a duct for the through-flow of a fluid in which particles are suspended. The device comprises a planar

acoustic transducer 10 and a planar acoustic reflector 12 forming opposite parallel side walls of the chamber, and separated by a spacer 14. Inlet and outlet ports 16 and 18 are formed through the reflector 12 adjacent opposite ends of the chamber: instead, either or both parts may be formed through the transducer 10 or through the spacer 14. The electrodes of the transducer 10 are shown at 10a, 10b on its opposite sides.

In accordance with the invention, the spacing between the transducer 10 and reflector 12 is 300 microns or less and 10 a half-wavelength standing wave field is established between the transducer and reflector, such that a single band of particles is formed. Also, the device is operated at the resonant frequency of the chamber, not at the resonant frequency of the transducer.

As mentioned above, the device is very effective in manipulating the particles and can be used to trap the particles against the through-flow of the suspending fluid.

15

The electrodes 11a, 11b may be deposited onto the opposite faces of the transducer 10 in a pattern which defines 20 the location and size of the acoustic field. The electrode material can be deposited and patterned using standard microelectronic fabrication techniques.

The reflector 12 may comprise any material which exhibits an appropriate acoustic density, including glass, 25 metal and ceramic. The reflector may comprise a single piece of such material, or it may comprise a layer of such material deposited on a support of another material.

The spacer may be formed by depositing material onto the transducer and/or onto the reflector followed by 30 structuring steps to form the fluid channel. Alternatively, the spacer may comprise a separate member, the transducer, reflector and spacer then being bonded together.

In the modified device shown in Figure 2, the transducer 10 is provided on one face of a planar carrier 20 35 which forms the side wall of the chamber, opposite the reflector 12. The transducer may be formed by deposition, onto the carrier 20, of pre-cursors of the required piezo-electric material, the deposited materials then being produced (sintered, polarised, etc) to provide the piezo-electric

properties. The material of the carrier 20 is selected for its ability to couple the acoustic energy into the chamber. Alternatively, the transducer 10 may comprise a pre-fabricated member which is affixed (e.g. by gluing or bonding) onto the carrier 20: the transducer may be embedded into a recess in the carrier surface.

Referring to Figure 3, the transducer 10 may comprise a separate member, or be carried on a separate member, positioned beyond the side wall 220 of the chamber. Referring to Figure 4, both the transducer 10 and reflector 12 comprise separate members positioned beyond the opposite side walls 20, 22 of the chamber: in this case, the acoustic chamber may be removable in sliding manner from a unit which comprises the transducer and reflector, as indicated by the arrow A. It will be appreciated that, in the devices of Figures 3 and 4, the side walls 20, 22 are of materials through which the acoustic energy is able to propagate.

<u>Claims</u>

- A device for performing the manipulation of particles suspended in a fluid, the device comprising a duct for the flow of a fluid in which particles are suspended, and an acoustic transducer and a reflector for establishing an acoustic standing wave field across the width of said duct, the spacing between the transducer and reflector being 300 microns or less.
- 2) A device as claimed in claim 1, in which said transducer and reflector form opposite side walls of a chamber 10 which provides said duct.
 - 3) A device as claimed in claim 1, in which either or both of said transducer and reflector is positioned externally of respective opposite side walls of a chamber which provides said duct.
- 15 4) A device as claimed in any preceding claim, in which the spacing between said transducer and reflector is less than 200 microns.
- 5) A device as claimed in claim 4, in which the spacing between said transducer and reflector is substantially 100 20 microns.
 - 6) A device as claimed in any preceding claim, arranged such that a half-wavelength standing wave field is established between said transducer and reflector whereby said particles are concentrated into a single band.
- 25 7) A device as claimed in any preceding claim, including an alternating current power source for driving said transducer, the arrangement serving to operate at a resonant frequency of a chamber which provides said duct, or at a harmonic of said resonant frequency.
- 30 8) A device as claimed in any preceding claim, arranged to move particles from one location within a chamber which

provides said duct to another location within said chamber.

- 9) A device as claimed in any preceding claim, arranged to divert particles to selective output ports of a chamber which provides said duct.
- 5 10) A device for performing the manipulation of particles suspended in a fluid, the device comprising an acoustic chamber providing a duct for the flow of a fluid in which particles are suspended, an acoustic transducer and a reflector for establishing an acoustic standing wave field across the width of the duct, and an alternating current power source for driving said transducer, the arrangement serving to operate at a resonant frequency of the acoustic chamber or at a harmonic of said frequency.

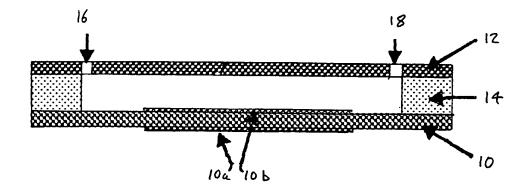


Figure 1

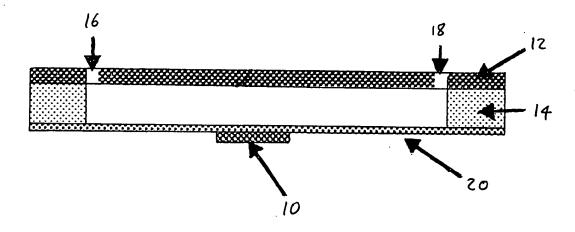


Figure 2

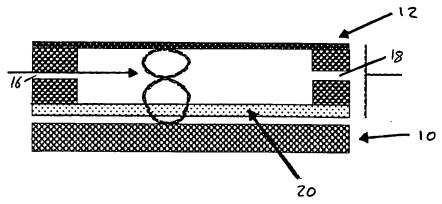


Figure 3

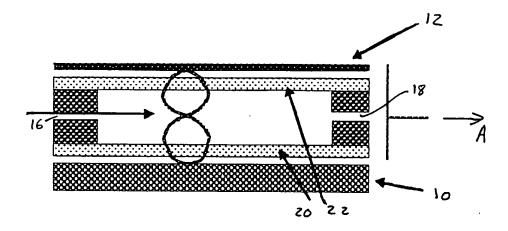


Figure 4

INTERNATIONAL SEARCH REPORT

Inti Jonal Application No PCT/GB 99/02384

. CLASSIFICATION OF SUBJECT MATTER PC 7 B01D43/00 B01J A. CLASS B01J8/16 G01N15/02 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 7 BOID BOIJ GOIN Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Category * Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. X PATENT ABSTRACTS OF JAPAN 1-6 vol. 018, no. 627 (P-1834), 29 November 1994 (1994-11-29) & JP 06 241977 A (HITACHI LTD; OTHERS: 01), 2 September 1994 (1994-09-02) abstract X EP 0 773 055 A (HITACHI LTD) 1-6,8,914 May 1997 (1997-05-14) page 7, column 36-51; figures 6A,6B,12,15,18 X WO 93 19367 A (UNIV CARDIFF) 1-6 30 September 1993 (1993-09-30) abstract -/--X Further documents are listed in the continuation of box C. Patent family members are listed in annex. Special categories of cited documents : T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention "E" earlier document but published on or after the International "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to filing date document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-ments, such combination being obvious to a person skilled "O" document referring to an oral disclosure, use, exhibition or document published prior to the international filing date but later than the priority date claimed in the art. "&" document member of the same patent family Date of the actual completion of the International search Date of mailing of the international search report 25 November 1999 13/12/1999 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016 Hoffmann, A

2

INTERNATIONAL SEARCH REPORT

Into Ional Application No
PCT/GB 99/02384

	·	PC1/GB 99/02384		
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
X	PATENT ABSTRACTS OF JAPAN vol. 1995, no. 05, 30 June 1995 (1995-06-30) & JP 07 047259 A (HITACHI LTD), 21 February 1995 (1995-02-21) abstract	1		
Α	US 5 225 089 A (BENES EWALD ET AL) 6 July 1993 (1993-07-06) the whole document	1		
A	US 5 626 767 A (TRAMPLER FELIX ET AL) 6 May 1997 (1997-05-06) the whole document	1		
A	EP 0 633 049 A (BENES EWALD ;TRAMPLER FELIX (AT)) 11 January 1995 (1995-01-11) abstract	7		
	·			
•				
	·			

INTERNATIONAL SEARCH REPORT

information on patent family members

Into Jonal Application No PCT/GB 99/02384

Patent document cited in search report		Publication date			. Publication date	
JP	06241977	Α	02-09-1994	NONE		
ΕP	0773055	A	14-05-1997	JP US	9122480 A 5902489 A	13-05-1997 11-05-1999
WO	9319367	A	30-09-1993	GB AT AU CA DE DE DK EP ES GR JP US	2265004 A 144837 T 3644693 A 2130276 A 69305733 D 69305733 T 630477 T 0630477 A 2094531 T 3022046 T 7504985 T 5665605 A 5912182 A	15-09-1993 15-11-1996 21-10-1993 30-09-1993 05-12-1996 30-04-1997 01-04-1997 28-12-1994 16-01-1997 31-03-1997 01-06-1995 09-09-1997
JP	07047259	A	21-02-1995	NONE		
US	5225089	A	06-07-1993	AT AT WO AT DE EP	390739 B 271288 A 9005008 A 123662 T 58909299 D 0400115 A	25-06-1990 15-12-1989 17-05-1990 15-06-1995 20-07-1995 05-12-1990
US	5626767	A	06-05-1997	AU AU CA WO EP	679083 B 7118394 A 2166279 A 9501214 A 0706417 A	19-06-1997 24-01-1995 12-01-1995 12-01-1995 17-04-1996
EP	0633049	A	11-01-1995	AT AU AU BR CA DE WO US	398707 B 92693 A 684284 B 6760594 A 9406396 A 2137699 A 69420339 D 9426390 A 5527460 A 5711888 A	25-01-1995 15-06-1994 11-12-1997 12-12-1994 27-02-1996 24-11-1994 07-10-1999 24-11-1994 18-06-1996 27-01-1998